Chapter 5 **Control Chart for Variable**



แผนภูมิควบคุมสำหรับตัวแปรชนิดแปรผัน



Control Chart for Variable

□ Introduction □ Control Chart Techniques □ State of Control □ Specifications □ Process Capability Different Control Charts □ Other Charts □ Computer Program

Introduction

- Law of Nature: no two natural items in any category are the same No two objects are ever made exactly alike
- - Variation
 - 1. Within-piece variation ex surface roughness
 - 2. Piece-to-piece variation ex light intensity of four consecutive light bulbs
 - 3. Time-to-time variation



Introduction

Variation

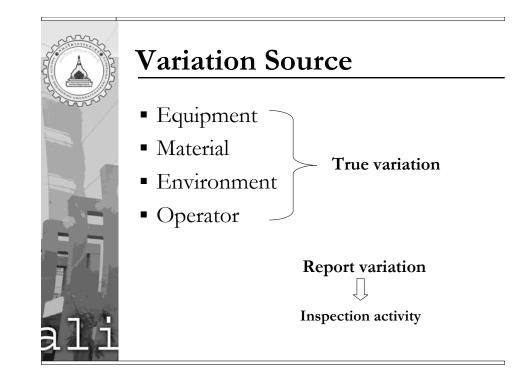
is present in every process.

- Chance causes (random causes) are inevitable, difficult to detect or identify.
- Assignable causes are large in magnitude and readily identified.



Variation Source

- Equipment : tool wear, machine vibration, workholding-device positioning, hydraulic and electrical fluctuations.
- Material : tensile strength, ductility, thickness, porosity, moisture content
- Environment : Temperature, light, particle size, pressure, humidity
- Operator : method, physical, emotional



Variation

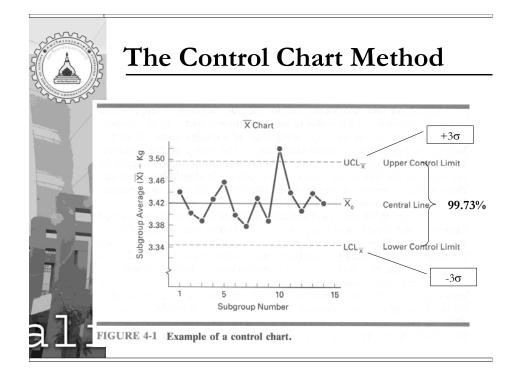
 Chance cause สาเหตุจากธรรมชาติของ กระบวนการผลิต
 Assignable cause สาเหตุเฉพาะ หรือ

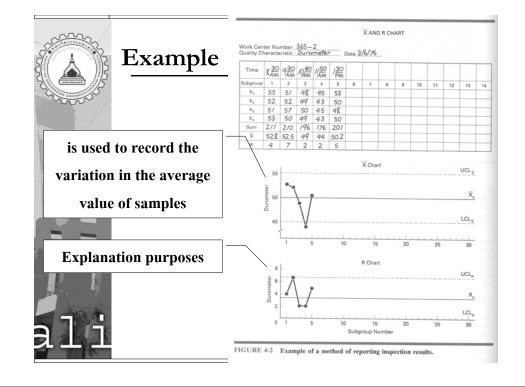
สาเหตุพิเศษ – large in magnitude



Introduction

- When only chance causes are present in a process, the process is considered to be in a state of statistical control. It is stable and predictable.
- When an assignable cause of variation is also present, the process is classified as out of control.







Out of control – subgroup 4

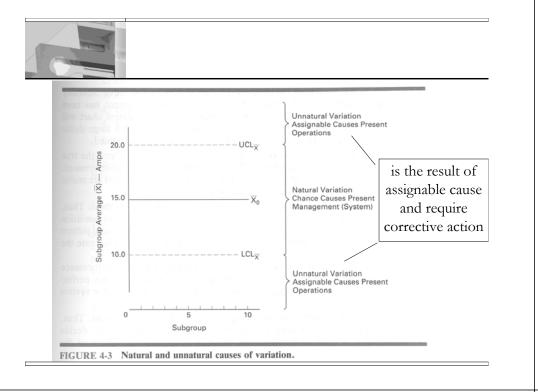
- The operator report this fact to supervisor
 Operator and supervisor will then look for an assignable cause
- □ Take corrective action

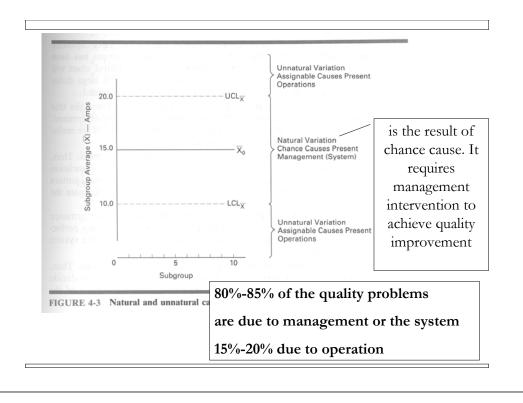
Control chart indicates when and where trouble has occurred.



The Control Chart Method

- Usually an X chart for the central tendency and an R chart for the dispersion are used together.
- is a statistical tool that distinguishes between natural and unnatural variation.







Objectives of Variable Control Charts

- For quality improvement
- To determine the true process capability
- For decision in regard to product specifications
- For current decisions in regard to the production process
- For current decisions in regards to recently produced items



Control Chart Techniques

Introduction

The procedure to establish a pair of control charts for the average and the range.

- 1. Select the quality characteristic
- 2. Choose the rational subgroup
- 3. Collect the data
- 4. Determine the trial central line and control limits
- 5. Establish the revised central line and control limits
- 6. Achieve the objective



Select the Quality Characteristic

- must be measurable and can be expressed in numbers.
- can be expressed in term of the seven basic units: length, mass, time, electrical current, temperature, substance, or luminous intensity

or any derived units, such as power, velocity, force, energy, density, and pressure.



Choose the Rational Subgroup

- The first scheme is to select the subgroup samples from product produced at one instant of time or as close to that instant as possible.
- The second scheme is to select product produced over a period of time so that it is representative of all product.

Instant-time method

Have minimum variation within the subgroup and maximum variation among the subgroup

*One most commonly used since it provides a particular time reference

Period-of-time method

Have maximum variation within the subgroup and a minimum variation among the subgroup

Provide better overall results and, therefore, present a more accurate picture of quality



Guidelines for decision on the size of sample

- As the subgroup size increases, the control limits become closer to the central value, which makes the control chart more sensitive to small variations in the process average.
- 2. As the subgroup size increases, the inspection cost per subgroup increase.
- 3. When destructive testing is used and the item is expensive, a small subgroup size of 2 or 3 is necessary.



Guidelines for decision on the size of sample

- Because of the ease of computation a sample size of 5 is quite common in industry.
- 5. From a statistical basis a distribution of subgroup averages, \overline{X} 's, are nearly normal for subgroup of 4 of more.
- 5. When the subgroup size exceed 10, the *s* chart should be used instead of the R chart for the control of the dispersion.

s is sample standard deviation



 TABLE 4-1
 Sample Sizes (From

 MIL-STD-414/Z1.9, Normal
 Inspection, Level II).

LOT SIZE	SAMPLE SIZE
91-150	10
151–280	15
281-400	20
401-500	25
501-1,200	35
1,201–3,200	50
3,201-10,000	75
10,001-35,000	100
35,001-150,000	150

produce 4000 pieces/day, 75 sample are suggested.

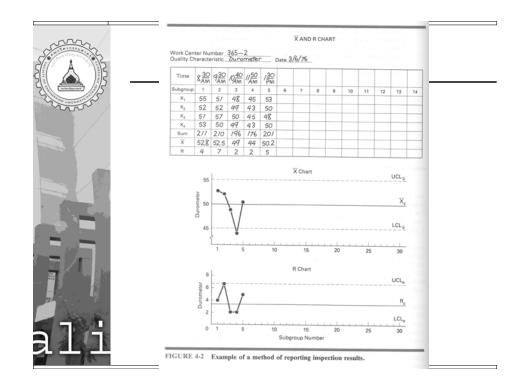
Subgroup size of 4

19 subgroups



Collect the Data

 May use the type form shown in Figure 4.2. An alternative method is shown in Table 4-2.



UBGROUP					EMEN		AVERAGE	RANGE	
NUMBER	DATE	TIME	$\overline{X_1}$	X_2	X_3	X_4	\overline{X}	R	COMMENT
1	12/23	8:50	35	40	32	37	6.36	0.08	
2		11:30	46	37	36	41	6.40	0.10	
3		1:45	34	40	34	36	6.36	0.06	
4		3:45	69	64	68	59	6.65	0.10	New, temporary
5		4:20	38	34	44	40	6.39	0.10	operator
6	12/27	8:35	42	41	43	34	6.40	0.09	
7		9:00	44	41	41	46	6.43	0.05	
8		9:40	33	41	38	36	6.37	0.08	
9		1:30	48	44	47	45	6.46	0.04	
10		2:50	47	43	36	42	6.42	0.11	
11	12/28	8:30	38	41	39	38	6.39	0.03	
12		1:35	37	37	41	37	6.38	0.04	
13		2:25	40	38	47	35	6.40	0.12	
14		2:35	38	39	45	42	6.41	0.07	
15		3:55	50	42	43	45	6.45	0.08	
16	12/29	8:25	33	35	29	39	6.34	0.10	
17		9:25	41	40	29	34	6.36	0.12	
18		11:00	38	44	28	58	6.42	0.30	Damaged oil line
19		2:35	35	41	37	38	6.38	0.06	
20		3:15	56	55	45	48	6.51	0.11	Bad material
21	12/30	9:35	38	40	45	37	6.40	0.08	
22		10:20	39	42	35	40		0.07	
23		11:35	42	39	39	36	6.39	0.06	
24		2:00	43	36	35	38	6.38	0.08	
25		4:25	39	38	43	44	6.41	0.06	
Sum							160.25	2.19	



Because of difficulty in the assembly of a gear hub to a shaft using a key and keyway, the project team recommends using an \bar{x} and R chart. The quality characteristic is the shaft keyway depth of 6.35 mm.

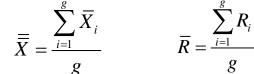


It is necessary to collect a minimum of 25 subgroups of data. A fewer number of subgroups would not provide a sufficient amount of data for the accurate computation of the control limits; and a larger number of subgroups would delay the introduction of the control chart.



Determine the Trial Control Limits

The central lines for the \bar{X} and R charts are obtained using the formulas







Trial control limits for the charts are established at 63 standard deviations from the central value, $\overline{x} + 2 =$

 $UCL_{\overline{X}} = \overline{\overline{X}} + 3\sigma_{\overline{X}}$ $LCL_{\overline{X}} = \overline{\overline{X}} - 3\sigma_{\overline{X}}$ $UCL_{R} = \overline{R} + 3\sigma_{R}$ $LCL_{R} = \overline{R} - 3\sigma_{R}$



In practice

The calculations are simplified by using factor A_2 , D_3 , and D_4 .

 $UCL_{\overline{X}} = \overline{\overline{X}} + A_2 \overline{R}$ $LCL_{\overline{X}} = \overline{\overline{X}} - A_2 \overline{R}$ $UCL_R = D_4 \overline{R}$ $LCL_R = D_3 \overline{R}$

Table B

TABLE B Factors for Computing Central Lines and 3σ Control Limits for \overline{X} , s and R Charts.

		HART FO		CHART FOI	R STANI	DARD DE	VIATIO	NS		CHART	FOR R	ANGES		
BSERVATIONS IN		CTORS H		FACTOR FOR CENTRAL LINE	33	FACTO CONTRO	RS FOR	s	FACTOR FOR CENTRAL LINE	FAC	TORS F	OR CON	ROLUN	MITTE
SAMPLE, n	A	A_2	A_3	c4	B_3	B_4	B_5	B_6	d_2	d_1	D_1	D_2	D_3	D_4
2	2.121	1.880	2.659	0.7979	0	3.267	0	2.606	1.128	0.853	0	3.686	0	3.26
3	1.732	1.023	1.954	0.8862	0	2.568	0	2.276	1.693	0.888	0	4.358	0	2.5
4	1.500	0.729	1.628	0.9213	0	2.266	0	2.088	2.059	0.880	0	4.698	0	2.2
5	1.342	0.577	1.427	0.9400	0	2.089	0	1.964	2.326	0.864	0	4.918	0	2.1
6	1.225	0.483	1.287	0.9515	0.030	1.970	0.029	1.874	2.534	0.848	0	5.078	0	2.0
7	1.134	0.419	1.182	0.9594	0.118	1.882	0.113	1.806	2.704	0.833	0.204	5.204	0.076	1.9
8	1.061	0.373	1.099	0.9650	0.185	1.815	0.179	1.751	2.847	0.820	0.388	5.306	0.136	1.8
9	1.000	0.337	1.032	0.9693	0.239	1.761	0.232	1.707	2.970	0.808	0.547	5.393	0.184	1.8
10	0.949	0.308	0.975	0.9727	0.284	1.716	0.276	1.669	3.078	0.797	0.687	5.469	0.223	1.7
11	0.905	0.285	0.927	0.9754	0.321	1.679	0.313	1.637	3.173	0.787	0.811	5.535	0.256	1.74
12	0.866	0.266	0.886	0.9776	0.354	1.646	0.346	1.610	3.258	0.778	0.922	5.594	0.283	1.7
13	0.832	0.249	0.850	0.9794	0.382	1.618	0.374	1.585	3.336	0.770	1.025	5.647	0.307	1.69
14	0.802	0.235	0.817	0.9810	0.406	1.594	0.399	1.563	3.407	0.763	1.118	5.696	0.328	1.6
15	0.775	0.223	0.789	0.9823	0.428	1.572	0.421	1.544	3.472	0.756	1.203	5.741	0.347	1.65
16	0.750	0.212	0.763	0.9835	0.448	1.552	0.440	1.526	3.532	0.750	1.282	5.782	0.363	1.63
17	0.728	0.203	0.739	0.9845	0.466	1.534	0.458	1.511	3.588	0.744	1.356	5.820	0.378	1.6
18	0.707	0.194	0.718	0.9854	0.482	1.518	0.475	1.496	3.640	0.739	1.424	5.856	0.391	1.60
19	0.688	0.187	0.698	0.9862	0.497	1.503	0.490	1.483	3.689	0.734	1.487	5.891	0.403	1.59
20	0.671	0.180	0.680	0.9869	0.510	1.490	0.504	1.470	3.735	0.729	1.549	5.921	0.415	1.58

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Example Problem

To obtain the trial control limits and the central line, the data in Table 4-2 concerning the depth of the shaft keyway will be used. From Table 4-2, the $\Sigma x = 160.25$, $\Sigma R = 2.19$, and g = 25; thus, the central line are

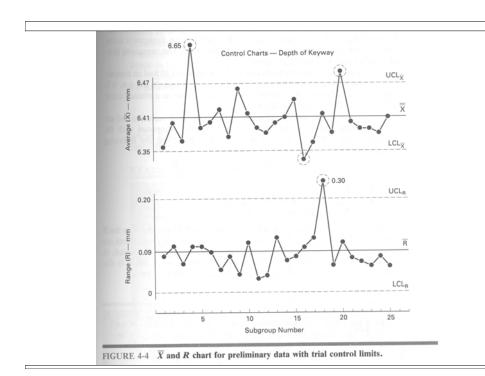
$$\overline{\overline{X}} = \frac{\sum_{i=1}^{g} \overline{X}_{i}}{g} = 160.25/25 = 6.41 \text{ mm}$$
$$\overline{R} = \frac{\sum_{i=1}^{g} R_{i}}{g} = 2.19/25 = 0.0876 \text{ mm}$$

Table B

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2	2.121	1.880	2.659	0.7979	0	3.267	0	2.606	1.128	0.853	0	3.686	0	3.267
3	1.732	1.023	1.954	0.8862	0	2.568	0	2.276	1.693	0.888	0	4.358	0	2.574
4	1.500	0.729	1.628	0.9213	0	2.266	0	2.088	2.059	0.880	0	4.698	0	2.282
5	1.342	0.577	1.427	0.9400	0	2.089	0	1.964	2.326	0.864	0	4.918	0	2.114
6	1 225	0.483	1 287	0.9515	0.030	1 970	0.029	1 874	2 534	0.848	0	5.078	0	2.004
7	1.134	0.419	1.182	0.9594	0.118	1.882	0.113	1.806	2.704	0.833	0.204	5.204	0.076	1.924
8	1.061	0.373	1.099	0.9650	0.185	1.815	0.179	1.751	2.847	0.820	0.388	5.306	0.136	1.864
9	1.000	0.337	1.032	0.9693	0.239	1.761	0.232	1.707	2.970	0.808	0.547	5.393	0.184	1.816
10	0.949	0.308	0.975	0.9727	0.284	1.716	0.276	1.669	3.078	0.797	0.687	5.469	0.223	1.777
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14	0.802	0.235	0.817	0.9810	0.406	1.594	0.399	1.563	3.407	0.763	1.118	5.696	0.328	1.672
15	0.775	0.223	0.789	0.9823	0.428	1.572	0.421	1.544	3.472	0.756	1.203	5.741	0.347	1.653
16	0.750	0.212	0.763	0.9835	0.448	1.552	0.440	1.526	3.532	0.750	1.282	5.782	0.363	1.637
17	0.728	0.203	0.739	0.9845	0.466	1.534	0.458	1.511	3.588	0.744	1.356	5.820	0.378	1.622
18	0.707	0.194	0.718	0.9854	0.482	1.518	0.475	1,496	3,640	0.739	1,424	5.856	0.391	1.608
19	0.688	0.187	0.698	0.9862	0.497	1.503	0.490	1.483	3.689	0.734	1.487	5.891	0.403	1.597
20	0.671	0.180	0.680	0.9869	0.510	1.490	0.504	1.470	3.735	0.729	1.549	5.921	0.415	1.585

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Trial control limits for the \overline{X} chart are $UCL_{\overline{X}} = \overline{\overline{X}} + A_2\overline{R} = 6.41 + (0.729)(0.0876) = 6.47 \text{ mm}$ $LCL_{\overline{X}} = \overline{\overline{X}} - A_2\overline{R} = 6.41 - (0.729)(0.0876) = 6.35 \text{ mm}$ Trial control limits for the R chart are $UCL_R = D_4\overline{R} = (2.282)(0.0876) = 0.20 \text{ mm}$

 $LCL_R = D_3\overline{R}$ = (0)(0.0876) = 0 mm



Establish the Revised Control Limits

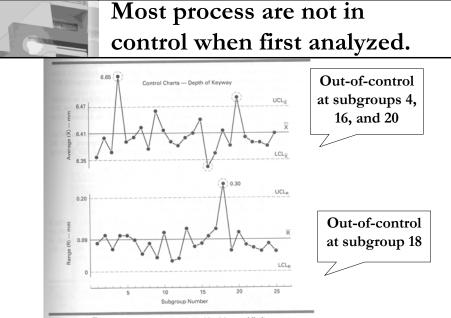
First step: to post the preliminary data to the chart along with the control limits and central lines.Next step: to adopt standard values for the central lines, or, more appropriately stated, the best estimate of the standard values with the available data.



□ If an analysis of the preliminary data shows good control, then $\overline{\overline{X}}$ and \overline{R} can be considered as representative of the process and these become the standard values, \overline{X}_{0} and R_0 .

□ Good control = no out-of-control points = no long runs on either side of the central line

= no unusual patterns of variation



RE 4.4 \overline{X} and R chart for preliminary data with trial control limit

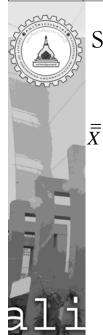


R chart

□ Since the out-of-control point at subgroup 18 on the R chart has an assignable cause (damage oil line), it can be discarded from the data.

\bar{x} chart

□ Subgroup 4 and 20 had an assignable cause while the out-of-control condition for subgroup 16 did not. It is assumed that subgroup 16's out of control state is due to a chance cause and is part of natural variation.



Subgroups 4, 18, and 20 are not part of the natural variation and are discard from the data and new $\overline{\overline{X}}$ and \overline{R} values computed with the remaining data. The calculations are simplified by using the following formula:

 $\overline{\overline{X}}_{new} = \frac{\sum \overline{X} - \overline{X}_d}{g - g_d} \qquad \overline{R}_{new} = \frac{\sum R - R_d}{g - g_d}$



Techniques to discard data

□ If either the *x* and the *R* value of a subgroup is out-of-control and has an assignable cause, both are discarded.

Or only the out-of-control value of a subgroup is discarded.



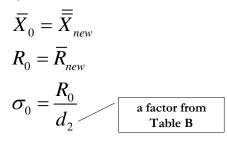
Example problem (Continued)

Calculations for a new \overline{X} are based on discarding the \overline{X} value of 6.65 and 6.51 for subgroups 4 and 20, respectively. Calculations for a new \overline{R} are based on discarding the **R** value of 0.30 for subgroup 18.

$$\overline{\overline{X}}_{new} = \frac{\sum \overline{X} - \overline{X}_d}{g - g_d} = 160 - 6.65 - 6.51/25 - 2 = 6.40 \text{ mm}$$
$$\overline{R}_{new} = \frac{\sum R - R_d}{g - g_d} = 2.19 - 0.30/25 - 1 = 0.079 \text{ mm}$$



These new values are used to establish the standard values of \overline{X}_0 , R_0 , and σ_0 . Thus,





Using the standard values, the central lines and 3 σ control limits for actual operations are obtained.

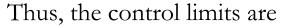
 $UCL_{\overline{X}} = \overline{X}_0 + A\sigma_0$ $LCL_{\bar{X}} = \bar{X}_0 - A\sigma_0$ $UCL_{R} = D_{2}\sigma_{0}$ $LCL_{R} = D_{1}\sigma_{0}$



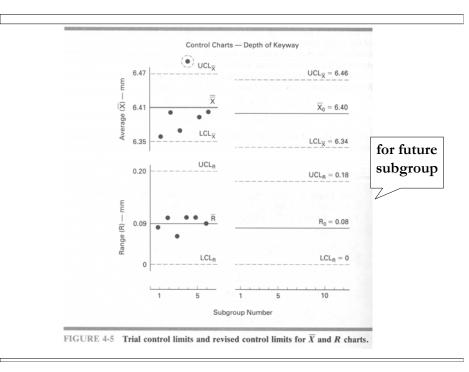
Example problem (continued)

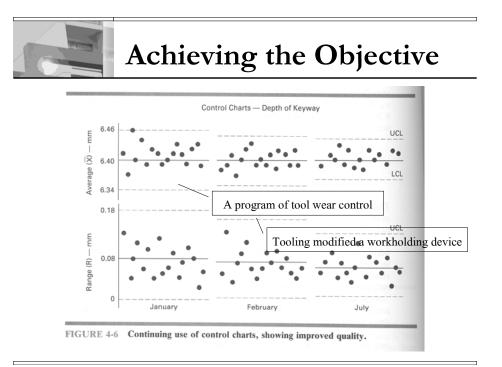
From Table B in the Appendix and for a subgroup size of 4, the factors are A = 1.5, d₂ = 2.059, D₁ = 0, and D₂ = 4.698. $\overline{X}_0 = \overline{\overline{X}}_{new} = 6.40mm$ $R_0 = \overline{R}_{new} = 0.079$ $\sigma_0 = \frac{R_0}{d_2} = \frac{0.079}{2.059} = 0.038mm$





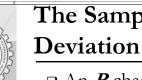
 $UCL_{\bar{X}} = \bar{X}_{0} + A\sigma_{0} = 6.40 + (1.5)(0.038) = 6.46mm$ $LCL_{\bar{X}} = \bar{X}_{0} - A\sigma_{0} = 6.40 - (1.5)(0.038) = 6.34mm$ $UCL_{R} = D_{2}\sigma_{0} = (4.698)(0.038) = 0.18mm$ $LCL_{R} = D_{1}\sigma_{0} = (0)(0.038) = 0mm$





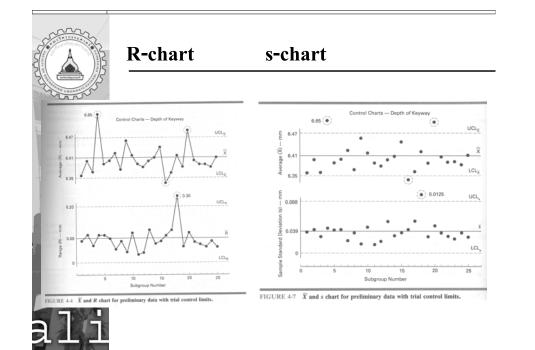
Question: Establish the \overline{X} and R chart on a certain dimension part (mm). When subgroup size = 6. Determine the trial central line and control limits. Assume assignable cause and revise the central line and limits.

SG	\overline{X}	R	SG	\overline{X}	R	SG	\overline{X}	R
1	20.35	0.34	9	20.48	0.30	17	20.36	0.37
2	20.40	0.36	10	20.42	0.37	18	20.42	0.73
3	20.36	0.32	11	20.39	0.29	19	20.50	0.38
4	20.65	0.36	12	20.38	0.30	20	20.31	0.35
5	20.20	0.36	13	20.40	0.33	21	20.39	0.38
6	20.40	0.35	14	20.41	0.36	22	20.39	0.33
7	20.43	0.31	15	20.45	0.34	23	20.40	0.32
8	20.37	0.34	16	20.34	0.36	24	20.41	0.34
						25	20.40	0.30



The Sample Standard **Deviation Control Chart**

- □ An **R** chart is easier to compute and easier to explain.
- □ An *s* chart is more accurate than an R chart.
- □ When subgroup size are less than 10, both charts will graphically portray the same variation.
- □ As subgroup size increase to 10 or more, extreme values have an undue influence on the **R** chart. Therefore, at larger subgroup sizes the *s* chart must be used.



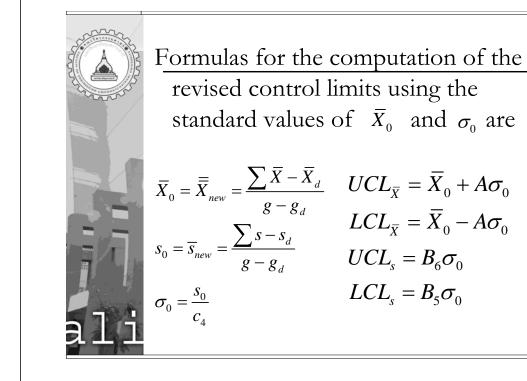


 $\overline{\overline{X}}$ =

The step to obtain the
$$\overline{X}$$
 and s chart
are the same as for \overline{X} and R chart
except for different formulas.

$$UCL_{\overline{X}} = \overline{\overline{X}} + A_{3}\overline{s}$$
$$LCL_{\overline{X}} = \overline{\overline{X}} - A_{3}\overline{s}$$
$$UCL_{s} = B_{4}\overline{s}$$
$$LCL_{s} = B_{3}\overline{s}$$

SUBGROUP			ME	ASUI	REME	ENTS	AVERAGE	SAMPLE STANDARD DEVIATION	
NUMBER	DATE	TIME	X_1	X_2	X_3	X_4	\overline{X}	S	COMMENT
1	12/23	8:50	35	40	32	37	6.36	0.034	
2		11:30	46	37	36	41	6.40	0.045	
3		1:45	34	40	34	36	6.36	0.028	
4		3:45	69	64	68	59	6.65	0.045	New, temporary
5		4:20	38	34	44	40	6.39	0.042	operator
6	12/27	8:35	42	41	43	34	6.40	0.041	- Permiter
7		9:00	44	41	41	46	6.43	0.024	
8		9:40	33	41	38	36	6.37	0.034	
9		1:30	48	44	47	45	6.46	0.018	
10		2:50	47	43	36	42	6.42	0.045	
11	12/28	8:30	38	41	39	38	6.39	0.014	
12		1:35	37	37	41	37	6.38	0.020	
13		2:25	40	38	47	35	6.40	0.051	
14		2:35	38	39	45	42	6.41	0.032	
15		3:55	50	42	43	45	6.45	0.036	
16	12/29	8:25	33	35	29	39	6.34	0.042	
17		9:25	41	40	29	34	6.36	0.067	
18		11:00	38	44	28	58	6.42	0.125	Damaged oil line
19		2:35	35	41	37	38	6.38	0.025	- mages on mie
20		3:15	56	55	45	48	6.51	0.054	Bad material
21	12/30	9:35	38	40	45	37	6.40	0.036	materia
22		10:20	39	42	35	40	6.39	0.029	
23		11:35	42	39	39	36	6.39	0.024	
24		2:00	43	36	35	38	6.38	0.036	
25		4:25	39	38	43	44	6.41	0.029	
Sum							160.25	0.975	Can .





The first step

To determine the standard deviation for each subgroup from the preliminary data.

For subgroup 1, with value of 6.35, 6.40, 6.32, and 6.37, the standard deviation is

$$s = \sqrt{\frac{n \sum_{i=1}^{n} X_i^2 - \left(\sum_{i=1}^{n} X_i\right)^2}{n(n-1)}} = 0.034 \text{ mm}$$



Example Problem

Using the data of Table 4-3, determine the revised central line and control limits. The first step is to obtain \overline{X} and \overline{s} .

$$\overline{s} = \frac{\sum_{i=1}^{g} s}{g} = \frac{0.975}{25} = 0.039mm$$
$$\overline{\overline{X}} = \frac{\sum_{i=1}^{g} \overline{X}_{i}}{g} = \frac{160.25}{25} = 6.41mm$$



Table B

TABLE B Factors for Computing Central Lines and 3σ Control Limits for \overline{X} , s and R Charts.

		HART FO		CHART FO	R STANI	OARD DI	VIATIO	NS		CHART	FOR R	ANGES		
OBSERVATIONS IN		CTORS I		FACTOR FOR CENTRAL LINE	33	FACTO	RS FOR	s	FACTOR FOR CENTRAL LINE	FAC	TORS F	OR CON	ROL LI	MITS
SAMPLE, n	A	A_2	A_3	c4	B_3	B_4	B_5	B_6	d_2	d_1	D_1	D_2	D_3	D_4
2	2.121	1.880	2.659	0.7979	0	3.267	0	2.606	1.128	0.853	0	3.686	0	3.26
3	1.732	1.023	1.954	0.8862	0	2.568	0	2.276	1.693	0.888	0	4.358	0	2.57
4	1.500	0.729	1.628	0.9213	0	2.266	0	2.088	2.059	0.880	0	4.698	0	2.28
5	1.342	0.577	1.427	0.9400	0	2.089	0	1.964	2.326	0.864	0	4.918	0	2.11
6	1.225	0.483	1.287	0.9515	0.030	1.970	0.029	1.874	2.534	0.848	0	5.078	0	2.00
7	1.134	0.419	1.182	0.9594	0.118	1.882	0.113	1.806	2.704	0.833	0.204	5.204	0.076	1.92
8	1.061	0.373	1.099	0.9650	0.185	1.815	0.179	1.751	2.847	0.820	0.388	5.306	0.136	1.86
9	1.000	0.337	1.032	0.9693	0.239	1.761	0.232	1.707	2.970	0.808	0.547	5.393	0.184	1.81
10	0.949	0.308	0.975	0.9727	0.284	1.716	0.276	1.669	3.078	0.797	0.687	5.469	0.223	1.77
11	0.905	0.285	0.927	0.9754	0.321	1.679	0.313	1.637	3.173	0.787	0.811	5.535	0.256	1.74
12	0.866	0.266	0.886	0.9776	0.354	1.646	0.346	1.610	3.258	0.778	0.922	5.594	0.283	1.71
13	0.832	0.249	0.850	0.9794	0.382	1.618	0.374	1.585	3.336	0.770	1.025	5.647	0.307	1.693
14	0.802	0.235	0.817	0.9810	0.406	1.594	0.399	1.563	3.407	0.763	1.118	5.696	0.328	1.672
15	0.775	0.223	0.789	0.9823	0.428	1.572	0.421	1.544	3.472	0.756	1.203	5.741	0.347	1.65
16	0.750	0.212	0.763	0.9835	0.448	1.552	0.440	1.526	3.532	0.750	1.282	5.782	0.363	1.63
17	0.728	0.203	0.739	0.9845	0.466	1.534	0.458	1.511	3.588	0.744	1.356	5.820	0.378	1.622
18	0.707	0.194	0.718	0.9854	0.482	1.518	0.475	1.496	3.640	0.739	1.424	5.856	0.391	1.60
19	0.688	0.187	0.698	0.9862	0.497	1.503	0.490	1.483	3.689	0.734	1.487	5.891	0.403	1.59
20	0.671	0.180	0.680	0.9869	0.510	1.490	0.504	1.470	3.735	0.729	1.549	5.921	0.415	1.58

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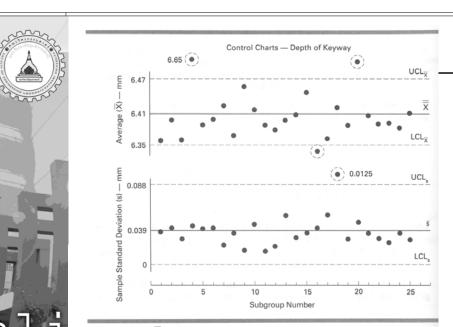


FIGURE 4-7 \overline{X} and s chart for preliminary data with trial control limits.



trial control limits are

 $UCL_{\bar{X}} = \bar{X}_{0} + A_{3}\sigma_{0} = 6.41 + (1.628)(0.039) = 6.47mm$ $LCL_{\bar{X}} = \bar{X}_{0} - A_{3}\sigma_{0} = 6.41 - (1.628)(0.039) = 6.35mm$ $UCL_{s} = B_{4}\sigma_{0} = (2.266)(0.039) = 0.088mm$ $LCL_{s} = B_{3}\sigma_{0} = (0)(0.039) = 0mm$



On the \bar{x} chart, subgroup 4 and 20 have assignable causes, they are discarded.

On the s chart, subgroup 18 is out of control and they have assignable cause, it is discarded.



Computation to obtain the standard values of \bar{X}_0 , σ_0 , and s_0 are as follows:

$$\overline{X}_{0} = \overline{\overline{X}}_{new} = \frac{\sum \overline{X} - \overline{X}_{d}}{g - g_{d}} = \frac{160.25 - 6.65 - 6.51}{25 - 2} = 6.40mm$$

$$s_{0} = \overline{s}_{new} = \frac{\sum s - s_{d}}{g - g_{d}} = \frac{0.975 - 0.125}{25 - 1} = 0.0354mm$$

$$\sigma_{0} = \frac{s_{0}}{c_{4}} = \frac{0.0354}{0.9213} = 0.038mm$$



Compute the revised control limits.

 $UCL_{\bar{X}} = \bar{X}_{0} + A\sigma_{0} = 6.40 + (1.5)(0.038) = 6.46mm$ $LCL_{\bar{X}} = \bar{X}_{0} - A\sigma_{0} = 6.40 - (1.5)(0.038) = 6.34mm$ $UCL_{s} = B_{6}\sigma_{0} = (2.088)(0.038) = 0.079mm$ $LCL_{s} = B_{5}\sigma_{0} = (0)(0.038) = 0mm$

Question

Control charts for \bar{x} and s are maintained on the resistance in ohms of an electrical part. The subgroup size is 6. After 25 subgroups, $\Sigma^{\bar{X}} = 2046.5$ and $\Sigma^s = 17.4$. If the process is in statistical control, what are the control limits and central line.



State of Control

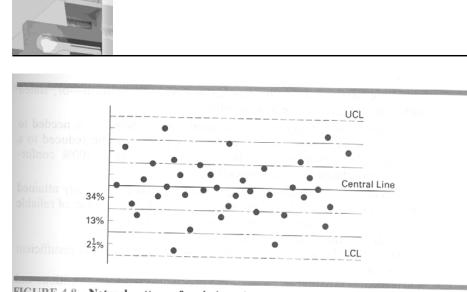
 Process in Control
 Process Out-of-control
 Analysis of Out-of-control Condition

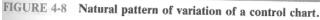


Process in Control

□ When the assignable causes have been eliminated from the process to the extent that the point plotted on the control chart remain within the control limits, the process is in a state of control.

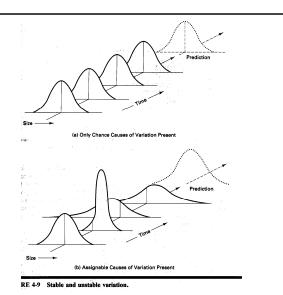
□ There occurs a natural pattern of variation







Future variation will be the same





Process Out-of-control

□ Out-of-control is a change in the process due to an assignable cause.

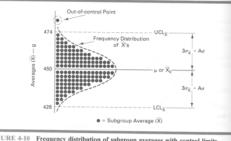
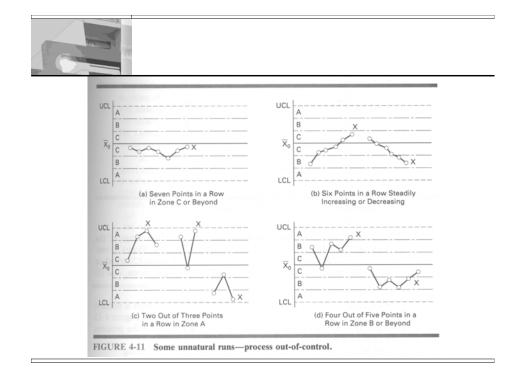


FIGURE 4-10 Frequency distribution of subgroup averages with control limits



Analysis of Out-of-control Condition

- □ The assignable causes responsible for the condition must be found.
- □ Type of Out-of-control pattern are
 - 1. Change or jump in level
 - 2. Trend or steady change in level
 - 3. Recurring cycles
 - 4. Two population
 - 5. mistake

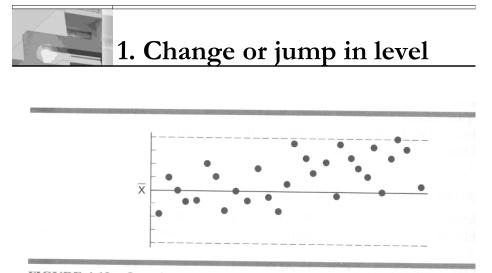


FIGURE 4-13 Out-of-control pattern: change or jump in level.



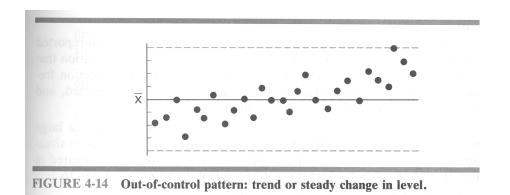
Change or jump in level

- \overline{X} chart, due to
- An intentional or unintentional change in the process setting
- A new or inexperienced operator
- □ A different raw material
- A minor failure of a machine part

- R chart, due to
- Inexperienced operator
- Sudden increase in gear play
- Greater variation in incoming material

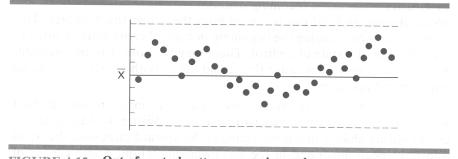


Trend or steady change in level



Trend or steady change in level **R** chart, due to \overline{X} chart, due to □ An improvement in □ Tool or die wear worker skill **Gradual** deterioration □ A decrease in worker of equipment skill due to fatigue, □ Gradual change in boredom, inattentions, temperature or and so on. humidity \square Viscosity breakdown in \square A gradual improvement in the a chemical process homogeneity of □ Buildup of chips in a incoming material work-holding device

3. Recurring cycles





Recurring cycles

- \overline{X} chart, due to
- The seasonal effects of incoming material
- The recurring effect of temperature and humidity (cold morning start-up)
- Any daily or weekly chemical, mechanical, or psychological event

- **R** chart, due to
- Operator fatigue and rejuvenation resulting from morning, noon, and afternoon breaks
- □ Lubrication cycles



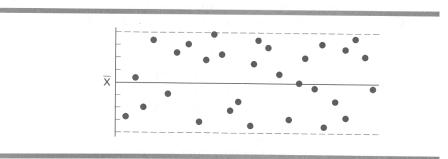
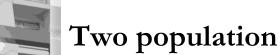


FIGURE 4-16 Out-of-control pattern: two populations.



\overline{X} chart, due to

- Large differences in material quality
- two or more machines on the same chart
- Large differences in test method or equipment

R chart, due to

- Different workers using the same chart
- Materials from different suppliers

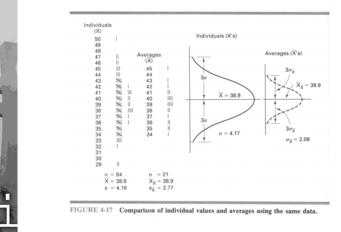
5. Mistake

Cause are

- Measuring equipment out of calibration
- □ Errors in calculation
- Errors in using testing equipment
 Taking samples from different populations

Specification

Individual Values Compared to Averages



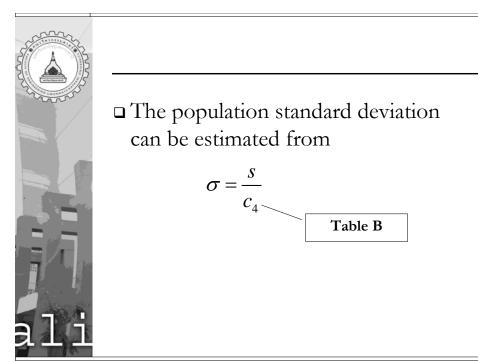


Individual Values Compared to Averages

□ The averages are grouped much closer to the center than the individual values.

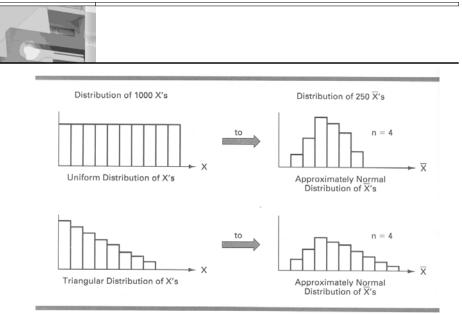
 \Box relationship $\sigma_{\bar{x}} =$

Population standard deviation of subgroup average Population standard deviation of individual value subgroup size



Central Limit Theorem

If the population from which samples are taken is not normal, the distribution of sample averages will tend toward normality provided that the sample size, n, is at least 4. This tendency get better and better as the sample size gets larger.





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Control Limits and Specifications

- Control limits are established as a function of the averages; in other words, control limits are for averages.
- Specifications are the permissible variation in the size of the part and are for individual value.
- The specification are established by design engineer to meet a particular function.

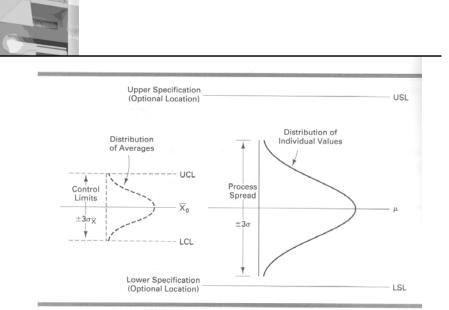
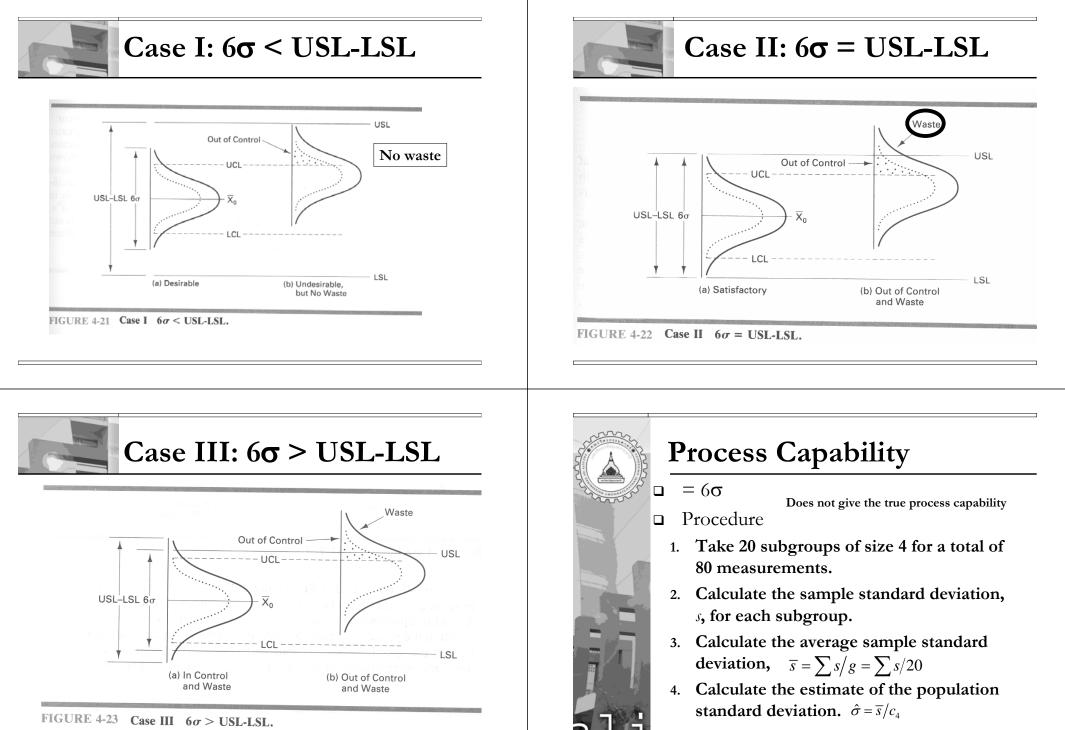


FIGURE 4-20 Relationship of limits, specifications, and distributions.



Process Capability and Tolerance

- 1. Case I: $6\sigma < \text{USL-LSL}$
- 2. Case II: $6\sigma = \text{USL-LSL}$
- 3. Case III: $6\sigma > \text{USL-LSL}$



5. Process capability will equal $6\sigma_0$.



Example Problem

 A new process is started and the sum of the sample standard deviations for 20 subgroups of size 4 is 84. Determine the process capability.



BLE B Factors for Computing Central Lines and 3σ Control Limits for \overline{X} , s and R Charts

		HART FO		CHART FOI	R STANE	ARD DE	VIATIO	NS		CHART	FOR R/	NGES		
OBSERVATIONS IN		CTORS I		FACTOR FOR CENTRAL LINE	33	FACTO	RS FOR	s	FACTOR FOR CENTRAL LINE	FAC	TORS F	OR CONT	ROL LIN	MITS
SAMPLE, n	A	A_2	A_3	c4	B_3	B_4	B_5	B_6	d_2	d_1	D_1	D_2	D_3	D_4
2	2.121	1.880	2.659	0,7979	0	3.267	0	2.606	1.128	0.853	0	3.686	0	3.26
3	1.732	1.023	1.954	0.8862	0	2.568	0	2.276	1.693	0.888	0	4.358	0	2.57
4	1.500	0.729	1.628	0.9213	0	2.266	0	2.088	2.059	0.880	0	4.698	0	2.28
5	1.342	0.577	1.427	0.9400	0	2.089	0	1.964	2.326	0.864	0	4.918	0	2.11
6	1.225	0.483	1.287	0.9515	0.030	1.970	0.029	1.874	2.534	0.848	0	5.078	0	2.00
7	1.134	0.419	1.182	0.9594	0.118	1.882	0.113	1.806	2.704	0.833	0.204	5.204	0.076	1.92
8	1.061	0.373	1.099	0.9650	0.185	1.815	0.179	1.751	2.847	0.820	0.388	5.306	0.136	1.86
9	1.000	0.337	1.032	0.9693	0.239	1.761	0.232	1.707	2.970	0.808	0.547	5.393	0.184	1.81
10	0.949	0.308	0.975	0.9727	0.284	1.716	0.276	1.669	3.078	0.797	0.687	5.469	0.223	1.77
11	0.905	0.285	0.927	0.9754	0.321	1.679	0.313	1.637	3.173	0.787	0.811	5.535	0.256	1.74
12	0.866	0.266	0.886	0.9776	0.354	1.646	0.346	1.610	3.258	0.778	0.922	5.594	0.283	1.71
13	0.832	0.249	0.850	0.9794	0.382	1.618	0.374	1.585	3.336	0.770	1.025	5.647	0.307	1.69
14	0.802	0.235	0.817	0.9810	0.406	1.594	0.399	1.563	3.407	0.763	1.118	5.696	0.328	1.67
15	0.775	0.223	0.789	0.9823	0.428	1.572	0.421	1.544	3.472	0.756	1.203	5.741	0.347	1.65
16	0.750	0.212	0.763	0.9835	0.448	1.552	0.440	1.526	3.532	0.750	1.282	5.782	0.363	1.63
17	0.728	0.203	0.739	0.9845	0.466	1.534	0.458	1.511	3.588	0.744	1.356	5.820	0.378	1.62
18	0.707	0.194	0.718	0.9854	0.482	1.518	0.475	1.496	3.640	0.739	1.424	5.856	0.391	1.60
19	0.688	0.187	0.698	0.9862	0.497	1.503	0.490	1.483	3.689	0.734	1.487	5.891	0.403	1.59
20	0.671	0.180	0.680	0.9869	0.510	1.490	0.504	1.470	3.735	0.729	1.549	5.921	0.415	1.58

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by using the range.

- 1. Take 20 subgroups of size 4 for a total of 80 measurements.
- 2. Calculate the range, *R*, for each subgroup.
- 3. Calculate the average range, $\overline{R} = \sum R/g = \sum R/20$
- 4. Calculate the estimate of the population standard deviation. $\hat{\sigma}_0 = \overline{R}/d_2$
- Process capability will equal $6\sigma_0$.



Example Problem

An existing process is not meeting the Rockwell-C specifications.
Determine the process capability based on the range values for 20 subgroups of size 4. Data are 7, 5, 5, 3, 2, 4, 5, 9, 4, 5, 4, 7, 5, 7, 3, 4, 7, 5, 5, and 7.

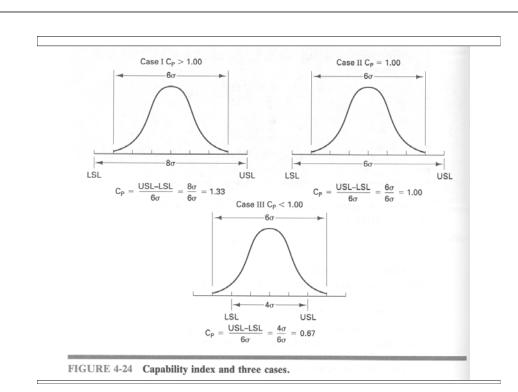


Table B

TABLE B Factors for Computing Central Lines and 3σ Control Limits for \overline{X} , s and R Charts.

		HART FO		CHART FOI	R STANI	OARD DI	VIATIO	NS		CHART	FOR R	ANGES		
OBSERVATIONS IN		CTORS I TROL LI		FACTOR FOR CENTRAL LINE	33	FACTO	RS FOR	s	FACTOR FOR CENTRAL LINE	FAC	TORS F	OR CON	ROL LI	MITS
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3	1.732	1.023	1.954	0.8862	0	2.568	0	2.276	1.693	0.888	0	4.358	0	2.57
4	1.500	0.729	1.628	0.9213	0	2.266	0	2.088	2.059	0.880	0	4.698	0	2.28
5	1.342	0.577	1.427	0.9400	0	2.089	0	1.964	2.326	0.864	0	4.918	0	2.11
6	1.225	0.483	1.287	0.9515	0.030	1.970	0.029	1.874	2.534	0.848	0	5.078	0	2.00
7	1.134	0.419	1.182	0.9594	0.118	1.882	0.113	1.806	2.704	0.833	0.204	5.204	0.076	1.92
8	1.061	0.373	1.099	0.9650	0.185	1.815	0.179	1.751	2.847	0.820	0.388	5.306	0.136	1.86
9	1.000	0.337	1.032	0.9693	0.239	1.761	0.232	1.707	2.970	0.808	0.547	5.393	0.184	1.81
10	0.949	0.308	0.975	0.9727	0.284	1.716	0.276	1.669	3.078	0.797	0.687	5.469	0.223	1.77
11	0.905	0.285	0.927	0.9754	0.321	1.679	0.313	1.637	3.173	0.787	0.811	5.535	0.256	1.74
12	0.866	0.266	0.886	0.9776	0.354	1.646	0.346	1.610	3.258	0.778	0.922	5.594	0.283	1.71
13	0.832	0.249	0.850	0.9794	0.382	1.618	0.374	1.585	3.336	0.770	1.025	5.647	0.307	1.69
14	0.802	0.235	0.817	0.9810	0.406	1.594	0.399	1.563	3.407	0.763	1.118	5.696	0.328	1.67
15	0.775	0.223	0.789	0.9823	0.428	1.572	0.421	1.544	3.472	0.756	1.203	5.741	0.347	1.65
16	0.750	0.212	0.763	0.9835	0.448	1.552	0.440	1.526	3.532	0.750	1.282	5.782	0.363	1.63
17	0.728	0.203	0.739	0.9845	0.466	1.534	0.458	1.511	3.588	0.744	1.356	5.820	0.378	1.62
18	0.707	0.194	0.718	0.9854	0.482	1.518	0.475	1.496	3.640	0.739	1.424	5.856	0.391	1.60
19	0.688	0.187	0.698	0.9862	0.497	1.503	0.490	1.483	3.689	0.734	1.487	5.891	0.403	1.59
20	0.671	0.180	0.680	0.9869	0.510	1.490	0.504	1.470	3.735	0.729	1.549	5.921	0.415	1.58

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Capability index

 $C_p = \frac{USL - LSL}{USL - LSL}$ $6\sigma_0$

- = 1, case II situation.
- > 1, case I situation.
- < 1, case III situation.



Example Problem

□ Assume that the specifications are 6.50 and 6.30 in the depth of keyway problem. Determine the capability index before (σ_0 = 0.038) and after (σ_0 = 0.030) improvement.



Capability ratio

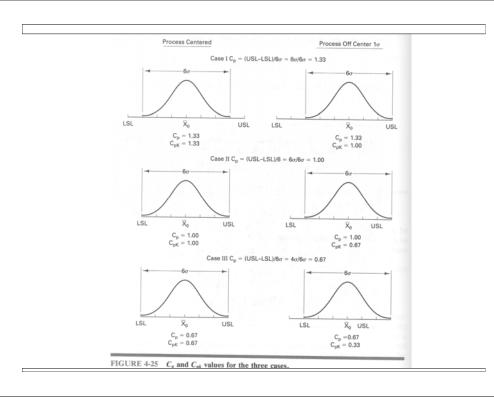
 $C_r = \frac{6\sigma_0}{USL - LSL}$

Process performance in term of the nominal or target value

 $C_{pk} = \frac{Z(Min)}{3}$ Where Z(Min) is the smaller of

$$Z(USL) = (USL - X) / \sigma$$

 $Z(LSL) = (X - LSL) / \sigma$





Example Problem

Determine C_{pk} for the previous example problem (USL=6.50, LSL=6.30, and $\sigma = 0.030$) when the average is 6.45.



C_p and C_{pk}

- 1. The C_p value does not change as the process center changes.
- 2. $C_p = C_{pk}$ when the process is centered.
- 3. C_{pk} is always equal to or less then C_p .
- 4. A C_{pk} value of 1 is a de facto standard. It indicates that the process is producing product that conforms to specification.



- A C_{pk} value less than 1 is a de facto standard. It indicates that the process is producing product that does not conforms to specification.
- 6. C_{pk} value less than 1 indicates that the process is not capable.
- 7. A C_{pk} value of zero indicates the average is equal to one of the specification limits.
- 8. A negative C_{pk} value indicates that the average is outside the specifications.



Different Control Charts

- **□** Chart for Better Operator Understanding
 - 1. Placing individual values on the chart

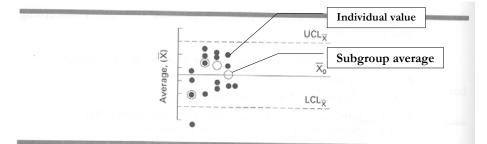


FIGURE 4-26 Chart showing a technique for plotting individual values and subgroup averages.



2. Chart for subgroup sums

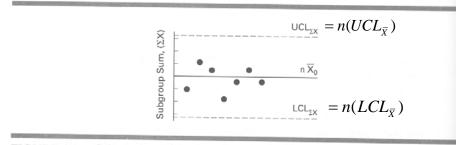


FIGURE 4-27 Subgroup sum chart.

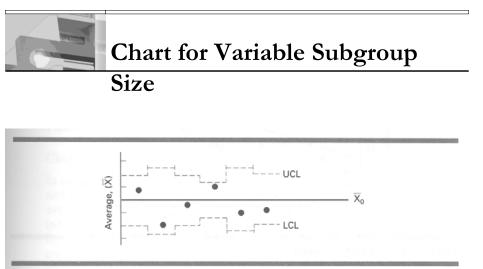
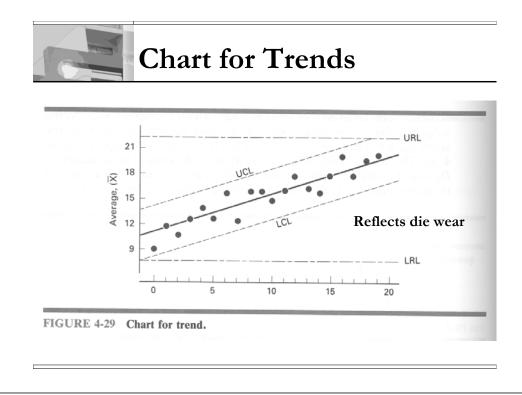
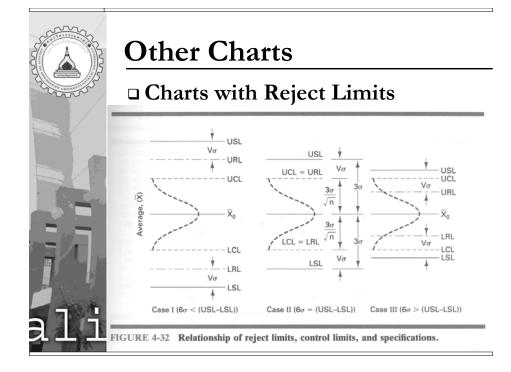
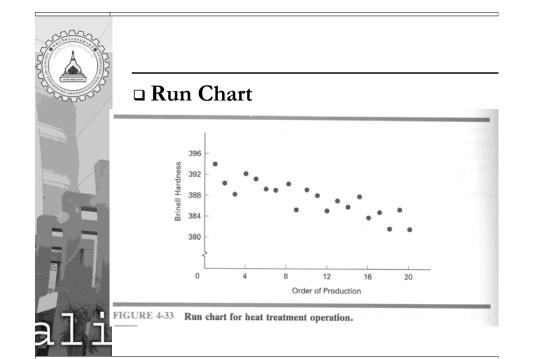


FIGURE 4-28 Chart for variable subgroup size.









Problem

A new process is started and the sum of the standard deviations for 20 subgroups of size 4 is 600. If the specifications are 700±80, what is the process capability index? What action would you recommend?



Table B

TABLE B Factors for Computing Central Lines and 3σ Control Limits for \overline{X} , s and R Charts.

		HART FO		CHART FO	R STANI	DARD DI	VIATIO	NS		CHART	FOR R	ANGES		
OBSERVATIONS IN		CTORS I		FACTOR FOR CENTRAL LINE	33	FACTO	RS FOR	s	FACTOR FOR CENTRAL LINE	FAC	TORS F	OR CON	FROL LI	MITS
SAMPLE, n	A	A_2	A_3	c4	B_3	B_4	B_5	B_6	d_2	d_1	D_1	D_2	D_3	D_4
2	2.121	1.880	2.659	0.7979	0	3.267	0	2.606	1.128	0.853	0	3.686	0	3.26
3	1.732	1.023	1.954	0.8862	0	2.568	0	2.276	1.693	0.888	0	4.358	0	2.57
4	1.500	0.729	1.628	0.9213	0	2.266	0	2.088	2.059	0.880	0	4.698	0	2.28
5	1.342	0.577	1.427	0.9400	0	2.089	0	1.964	2.326	0.864	0	4.918	0	2.11
6	1.225	0.483	1.287	0.9515	0.030	1.970	0.029	1.874	2.534	0.848	0	5.078	0	2.00
7	1.134	0.419	1.182	0.9594	0.118	1.882	0.113	1.806	2.704	0.833	0.204	5.204	0.076	1.92
8	1.061	0.373	1.099	0.9650	0.185	1.815	0.179	1.751	2.847	0.820	0.388	5.306	0.136	1.86
9	1.000	0.337	1.032	0.9693	0.239	1.761	0.232	1.707	2.970	0.808	0.547	5.393	0.184	1.81
10	0.949	0.308	0.975	0.9727	0.284	1.716	0.276	1.669	3.078	0.797	0.687	5.469	0.223	1.77
11	0.905	0.285	0.927	0.9754	0.321	1.679	0.313	1.637	3.173	0.787	0.811	5.535	0.256	1.74
12	0.866	0.266	0.886	0.9776	0.354	1.646	0.346	1.610	3.258	0.778	0.922	5.594	0.283	1.71
13	0.832	0.249	0.850	0.9794	0.382	1.618	0.374	1.585	3.336	0.770	1.025	5.647	0.307	1.69
14	0.802	0.235	0.817	0.9810	0.406	1.594	0.399	1.563	3.407	0.763	1.118	5.696	0.328	1.67
15	0.775	0.223	0.789	0.9823	0.428	1.572	0.421	1.544	3.472	0.756	1.203	5.741	0.347	1.65
16	0.750	0.212	0.763	0.9835	0.448	1.552	0.440	1.526	3.532	0.750	1.282	5.782	0.363	1.63
17	0.728	0.203	0.739	0.9845	0.466	1.534	0.458	1.511	3.588	0.744	1.356	5.820	0.378	1.62
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20	0.671	0.180	0.680	0.9869	0.510	1.490	0.504	1.470	3.735	0.729	1.549	5.921	0.415	1.58

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Problem

What is the Cpk value when the process average is 700, 740, 780, and 820?